



General Assembly

Substitute Bill No. 289

February Session, 2010

* ____SB00289APP__042010__ *

**AN ACT CONCERNING THE ONLINE SUBMISSION AND POSTING OF
STATEMENTS OF FINANCIAL INTERESTS.**

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. Section 1-83 of the general statutes is repealed and the
2 following is substituted in lieu thereof (*Effective October 1, 2010*):

3 (a) (1) All state-wide elected officers, members of the General
4 Assembly, department heads and their deputies, members of the
5 Gaming Policy Board, the executive director of the Division of Special
6 Revenue within the Department of Revenue Services, members or
7 directors of each quasi-public agency, members of the Investment
8 Advisory Council, state marshals and such members of the Executive
9 Department and such employees of quasi-public agencies as the
10 Governor shall require, shall file, under penalty of false statement, a
11 statement of financial interests for the preceding calendar year with the
12 Office of State Ethics on or before the May first next in any year in
13 which they hold such a position. Any such individual who leaves his
14 or her office or position shall file a statement of financial interests
15 covering that portion of the year during which such individual held
16 his or her office or position. The Office of State Ethics shall notify such
17 individuals of the requirements of this subsection not later than thirty
18 days after their departure from such office or position. Such
19 individuals shall file such statement within sixty days after receipt of

20 the notification. On and after October 1, 2010, each statement of
21 financial interests shall be filed electronically using the software
22 created by the Office of State Ethics for such purpose or another
23 software that meets the specifications prescribed by said office.

24 (2) Each state agency, department, board and commission shall
25 develop and implement, in cooperation with the Office of State Ethics,
26 an ethics statement as it relates to the mission of the agency,
27 department, board or commission. The executive head of each such
28 agency, department, board or commission shall be directly responsible
29 for the development and enforcement of such ethics statement and
30 shall file a copy of such ethics statement with the Department of
31 Administrative Services and the Office of State Ethics.

32 (b) (1) The statement of financial interests, except as provided in
33 subdivision (2) of this subsection, shall include the following
34 information for the preceding calendar year in regard to the individual
35 required to file the statement and the individual's spouse and
36 dependent children residing in the individual's household: (A) The
37 names of all businesses with which associated; (B) all sources of
38 income, including the name of each employer, with a description of
39 each source, in excess of one thousand dollars, without specifying
40 amounts of income; (C) the name of securities in excess of five
41 thousand dollars at fair market value owned by such individual,
42 spouse or dependent children or held in the name of a corporation,
43 partnership or trust for the benefit of such individual, spouse or
44 dependent children; (D) the existence of any known blind trust and the
45 names of the trustees; (E) all real property and its location, whether
46 owned by such individual, spouse or dependent children or held in the
47 name of a corporation, partnership or trust for the benefit of such
48 individual, spouse or dependent children; (F) the names and addresses
49 of creditors to whom the individual, the individual's spouse or
50 dependent children, individually, owed debts of more than ten
51 thousand dollars; (G) any leases or contracts with the state held or
52 entered into by the individual or a business with which he or she was
53 associated; and (H) a description of any partnership, joint ownership

54 or similar business affiliation between (i) a business included under
55 subparagraph (A) of this subdivision with which the individual filing
56 the statement, the individual's spouse or a dependent child of the
57 individual is associated, and (ii) a lobbyist, a person that the individual
58 filing the statement knows or has reason to know is doing business
59 with or seeking to do business with the state or is engaged in activities
60 that are directly regulated by the department or agency in which the
61 individual is employed, or a business with which such lobbyist or
62 person is associated.

63 (2) The statement of financial interests filed by state marshals shall
64 include only amounts and sources of income earned in their capacity
65 as state marshals.

66 (c) (1) The statement of financial interests filed pursuant to this
67 section shall be a matter of public information, except the list of names,
68 filed in accordance with subparagraph (F) of subdivision (1) of
69 subsection (b) of this section shall be sealed and confidential and for
70 the use of the Office of State Ethics only after a complaint has been
71 filed under section 1-82 and such complaint has been determined by a
72 vote of the board to be of sufficient merit and gravity to justify the
73 unsealing of such list or lists and not open to public inspection unless
74 the respondent requests otherwise. If the board reports its findings to
75 the Chief State's Attorney in accordance with subsection (c) of section
76 1-88, the board shall turn over to the Chief State's Attorney such
77 relevant information contained in the statement as may be germane to
78 the specific violation or violations or a prosecutorial official may
79 subpoena such statement in a criminal action. Unless otherwise a
80 matter of public record, the Office of State Ethics shall not disclose to
81 the public any such subpoena which would be exempt from disclosure
82 by the issuing agency.

83 (2) On and after January 1, 2012, the Office of State Ethics shall make
84 all statements of financial interests filed on or after said date pursuant
85 to this section available through the Internet and shall provide a
86 computer terminal at the office for the use of the public to access such

87 statements.

88 (d) Any individual who is unable to provide information required
89 under the provisions of subdivision (1) of subsection (b) of this section
90 by reason of impossibility may petition the board for a waiver of the
91 requirements.

This act shall take effect as follows and shall amend the following sections:		
Section 1	October 1, 2010	1-83

GAE *Joint Favorable Subst.*

APP *Joint Favorable*